

Form 604
Corporations Act 2001
Section 671B
Notice of change of interests of substantial holder

To: Company Name/Scheme: **RPMGlobal Holdings Limited**
ACN/ARSN **010 672 321**

1. Details of substantial holder

Name **Mitsubishi UFJ Financial Group, Inc.**
There was a change in the interests of the substantial holder on **16/06/2020**
The previous notice was given to the company on **28/05/2020**
The previous notice was dated **28/05/2020**
The holder became aware on **18/06/2020**

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate had a relevant interest in when last required, and when now required, to give a substantial holding notice to the company or scheme are as follows:

Class of securities	Previous notice		Present notice	
	Person's votes	Voting power (%)	Person's votes	Voting power (%)
Fully Paid ordinary shares	24,229,573	10.81	28,692,214	12.80

3. Changes in relevant interest

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change	Consideration given in relation to change	Class and number of securities affected	Person's votes affected
See annexure A to this notice					

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder	Nature of relevant interest	Class and number of securities	Person's votes
Mitsubishi UFJ Financial Group, Inc.	Citicorp Nominees Pty Limited (Australia)		Relevant interest in securities that First Sentier Investors Holdings Pty Limited has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of 100% in First Sentier Investors Holdings Pty Limited.	17,903,836 Fully paid ordinary shares	17,903,836
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	6,749,277 Fully paid ordinary shares	6,749,277
Mitsubishi UFJ Financial Group, Inc.	HSBC Custody Nominees (Australia) Limited		Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	4,014,307 Fully paid ordinary shares	4,014,307
Mitsubishi UFJ Financial Group, Inc.	Morgan Stanley Australia Securities (Nominee) Pty Limited		Relevant interest in securities that Morgan Stanley has a relevant interest in under section 608(3) of the Corporations Act as Mitsubishi UFJ Financial Group, Inc. has voting power of over 20% in Morgan Stanley.	24,794 Fully paid ordinary shares	24,794

5. Changes in association

The persons who have become associates of, ceased to be associates of, or have changed the nature of their association with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Mitsubishi UFJ Financial Group, Inc.	2-7-1, Marunouchi, Chiyoda-ku, Tokyo 100-8330, Japan

Signature


Kenji Takase

Authorised signatory

Dated 19 June 2020

Annexure A

This is annexure A of 4 pages referred to in Form 604, Notice of change of interest of substantial holder dated 19/06/2020

Kenji Takase |

Authorised signatory

Dated 19 June 2020

Person whose relevant interest changed	Date of change	Nature of change	Consideration given in relation to change	Class and number of securities affected	Person's votes affected
Mitsubishi UFJ Financial Group, Inc.	26/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	112.35	107 Ordinary Shares	107
Mitsubishi UFJ Financial Group, Inc.	26/05/2020	Sale of securities by an entity controlled by Morgan Stanley	226.86	228 Ordinary Shares	228
Mitsubishi UFJ Financial Group, Inc.	26/05/2020	Sale of securities by an entity controlled by Morgan Stanley	21	21 Ordinary Shares	21
Mitsubishi UFJ Financial Group, Inc.	26/05/2020	Sale of securities by an entity controlled by Morgan Stanley	211.68	216 Ordinary Shares	216
Mitsubishi UFJ Financial Group, Inc.	26/05/2020	Sale of securities by an entity controlled by Morgan Stanley	654.04	664 Ordinary Shares	664
Mitsubishi UFJ Financial Group, Inc.	26/05/2020	Sale of securities by an entity controlled by Morgan Stanley	741.51	749 Ordinary Shares	749
Mitsubishi UFJ Financial Group, Inc.	27/05/2020	Sale of securities by an entity controlled by Morgan Stanley	1324.83	1,345 Ordinary Shares	1345
Mitsubishi UFJ Financial Group, Inc.	27/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	6.3	6 Ordinary Shares	6
Mitsubishi UFJ Financial Group, Inc.	27/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	23472.97	22,665 Ordinary Shares	22665
Mitsubishi UFJ Financial Group, Inc.	27/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	1256.64	1,232 Ordinary Shares	1232
Mitsubishi UFJ Financial Group, Inc.	27/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	129.78	126 Ordinary Shares	126
Mitsubishi UFJ Financial Group, Inc.	27/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	459.54	444 Ordinary Shares	444
Mitsubishi UFJ Financial Group, Inc.	28/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	3369.96	3,256 Ordinary Shares	3256
Mitsubishi UFJ Financial Group, Inc.	28/05/2020	Sale of securities by an entity controlled by Morgan Stanley	812.7	774 Ordinary Shares	774
Mitsubishi UFJ Financial Group, Inc.	29/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	376.27	382 Ordinary Shares	382
Mitsubishi UFJ Financial Group, Inc.	29/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	85.08	83 Ordinary Shares	83
Mitsubishi UFJ Financial Group, Inc.	29/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	512.33	495 Ordinary Shares	495
Mitsubishi UFJ Financial Group, Inc.	29/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	84.46	82 Ordinary Shares	82
Mitsubishi UFJ Financial Group, Inc.	29/05/2020	Purchase of securities by an entity controlled by Morgan Stanley	288.08	277 Ordinary Shares	277
Mitsubishi UFJ Financial Group, Inc.	1/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	309.12	322 Ordinary Shares	322
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Sale of securities by an entity controlled by Morgan Stanley	97	100 Ordinary Shares	100
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Sale of securities by an entity controlled by Morgan Stanley	97.02	99 Ordinary Shares	99
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1747.3	1,730 Ordinary Shares	1730
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	338.1	345 Ordinary Shares	345
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	2070	2,000 Ordinary Shares	2000
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1229.1	1,205 Ordinary Shares	1205

Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Sale of securities by an entity controlled by Morgan Stanley	102.38	105 Ordinary Shares	105
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	860	860 Ordinary Shares	860
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Sale of securities by an entity controlled by Morgan Stanley	157.92	168 Ordinary Shares	168
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	57.29	57 Ordinary Shares	57
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Sale of securities by an entity controlled by Morgan Stanley	6.65	7 Ordinary Shares	7
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Sale of securities by an entity controlled by Morgan Stanley	1108.8	1,120 Ordinary Shares	1120
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Sale of securities by an entity controlled by Morgan Stanley	5.94	6 Ordinary Shares	6
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Sale of securities by an entity controlled by Morgan Stanley	87.36	91 Ordinary Shares	91
Mitsubishi UFJ Financial Group, Inc.	3/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	37037.79	36,265 Ordinary Shares	36265
Mitsubishi UFJ Financial Group, Inc.	4/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	282.8	280 Ordinary Shares	280
Mitsubishi UFJ Financial Group, Inc.	4/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1252.4	1,240 Ordinary Shares	1240
Mitsubishi UFJ Financial Group, Inc.	4/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	7365.6	7,440 Ordinary Shares	7440
Mitsubishi UFJ Financial Group, Inc.	4/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	16462.64	16,323 Ordinary Shares	16323
Mitsubishi UFJ Financial Group, Inc.	4/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	2176	2,176 Ordinary Shares	2176
Mitsubishi UFJ Financial Group, Inc.	4/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	2870.58	2,885 Ordinary Shares	2885
Mitsubishi UFJ Financial Group, Inc.	4/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	238.16	229 Ordinary Shares	229
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Sale of securities by an entity controlled by Morgan Stanley	473.55	462 Ordinary Shares	462
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Sale of securities by an entity controlled by Morgan Stanley	297.4	293 Ordinary Shares	293
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Sale of securities by an entity controlled by Morgan Stanley	50.23	49 Ordinary Shares	49
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Sale of securities by an entity controlled by Morgan Stanley	3458.82	3,391 Ordinary Shares	3391
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	98	100 Ordinary Shares	100
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Sale of securities by an entity controlled by Morgan Stanley	395.52	384 Ordinary Shares	384
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Sale of securities by an entity controlled by Morgan Stanley	663	650 Ordinary Shares	650
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	2000	2,000 Ordinary Shares	2000
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Sale of securities by an entity controlled by Morgan Stanley	1537.5	1,500 Ordinary Shares	1500
Mitsubishi UFJ Financial Group, Inc.	5/06/2020	Sale of securities by an entity controlled by Morgan Stanley	928.03	901 Ordinary Shares	901
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	Sale of securities by an entity controlled by Morgan Stanley	63.44	61 Ordinary Shares	61
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	35.7	35 Ordinary Shares	35
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	14.28	14 Ordinary Shares	14
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	Sale of securities by an entity controlled by Morgan Stanley	5948	5,948 Ordinary Shares	5948
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	2060	2,000 Ordinary Shares	2000
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	Sale of securities by an entity controlled by Morgan Stanley	66.67	67 Ordinary Shares	67

For personal use only

Mitsubishi UFJ Financial Group, Inc.	9/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	123.6	120 Ordinary Shares	120
Mitsubishi UFJ Financial Group, Inc.	9/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	985.71	957 Ordinary Shares	957
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Sale of securities by an entity controlled by Morgan Stanley	1589.02	1,597 Ordinary Shares	1597
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Sale of securities by an entity controlled by Morgan Stanley	5978.95	6,070 Ordinary Shares	6070
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	2845.89	2,763 Ordinary Shares	2763
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	338.25	330 Ordinary Shares	330
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Sale of securities by an entity controlled by Morgan Stanley	9008.81	9,146 Ordinary Shares	9146
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	13195.35	12,567 Ordinary Shares	12567
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	11211.49	10,627 Ordinary Shares	10627
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	351158.21	335,444 Ordinary Shares	335444
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	8813.47	8,354 Ordinary Shares	8354
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Sale of securities by an entity controlled by Morgan Stanley	202.95	205 Ordinary Shares	205
Mitsubishi UFJ Financial Group, Inc.	10/06/2020	Sale of securities by an entity controlled by Morgan Stanley	1980	2,000 Ordinary Shares	2000
Mitsubishi UFJ Financial Group, Inc.	11/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	843.88	785 Ordinary Shares	785
Mitsubishi UFJ Financial Group, Inc.	11/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	399.38	375 Ordinary Shares	375
Mitsubishi UFJ Financial Group, Inc.	11/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	341.33	319 Ordinary Shares	319
Mitsubishi UFJ Financial Group, Inc.	11/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	19922.38	19,048 Ordinary Shares	19048
Mitsubishi UFJ Financial Group, Inc.	11/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	70.38	69 Ordinary Shares	69
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Sale of securities by an entity controlled by Morgan Stanley	556.2	540 Ordinary Shares	540
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Sale of securities by an entity controlled by Morgan Stanley	299.52	288 Ordinary Shares	288
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Sale of securities by an entity controlled by Morgan Stanley	47.84	46 Ordinary Shares	46
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1512.23	1,559 Ordinary Shares	1559
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1764.99	1,829 Ordinary Shares	1829
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Sale of securities by an entity controlled by Morgan Stanley	792.33	773 Ordinary Shares	773
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1499.35	1,570 Ordinary Shares	1570
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1445.85	1,530 Ordinary Shares	1530
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	23.64	24 Ordinary Shares	24
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	6195.3	6,420 Ordinary Shares	6420
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1630.08	1,698 Ordinary Shares	1698
Mitsubishi UFJ Financial Group, Inc.	12/06/2020	Sale of securities by an entity controlled by Morgan Stanley	753.96	732 Ordinary Shares	732
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	2060	2,000 Ordinary Shares	2000
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	89.46	84 Ordinary Shares	84

Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	1786.9	1,670 Ordinary Shares	1670
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	44.94	42 Ordinary Shares	42
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	77.04	72 Ordinary Shares	72
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	4280	4,000 Ordinary Shares	4000
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	214.2	210 Ordinary Shares	210
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	2080	2,000 Ordinary Shares	2000
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	362.59	359 Ordinary Shares	359
Mitsubishi UFJ Financial Group, Inc.	15/06/2020	Sale of securities by an entity controlled by Morgan Stanley	997.5	950 Ordinary Shares	950
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Sale of securities by an entity controlled by Morgan Stanley	1604.8	1,663 Ordinary Shares	1663
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	6030	6,000 Ordinary Shares	6000
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1755	1,800 Ordinary Shares	1800
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Sale of securities by an entity controlled by Morgan Stanley	7022.8	7,240 Ordinary Shares	7240
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Sale of securities by an entity controlled by Morgan Stanley	362.9	382 Ordinary Shares	382
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Sale of securities by an entity controlled by Morgan Stanley	3841.6	3,920 Ordinary Shares	3920
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Sale of securities by an entity controlled by Morgan Stanley	1525.7	1,606 Ordinary Shares	1606
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1617	1,650 Ordinary Shares	1650
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Sale of securities by an entity controlled by Morgan Stanley	630.5	650 Ordinary Shares	650
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Sale of securities by an entity controlled by Morgan Stanley	937.02	966 Ordinary Shares	966
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Sale of securities by an entity controlled by Morgan Stanley	6702.25	7,055 Ordinary Shares	7055
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Purchase of securities by an entity controlled by Morgan Stanley	1500	1,500 Ordinary Shares	1500
Mitsubishi UFJ Financial Group, Inc.	16/06/2020	Collateral Received by an entity controlled by Morgan Stanley	N/A	4,014,307 Ordinary Shares	4014307

Annexure B

This is annexure B of 1 page referred to in Form 604, Notice of change of interest of substantial holder dated 19/06/2020

Kenji Takase |

Authorised signatory

Dated 19 June 2020

The below schedules are based on the relevant standard agreements. The entity filing the report will, if requested by the company or responsible entity to whom the prescribed form must be given or ASIC, give a copy of the agreement to the company, responsible entity or ASIC.

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and TOTUS CAPITAL PTY LTD AS TRUSTEE FOR TOTUS ALPHA FUND
Transfer Date	20200616;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and TOTUS ALPHA OFFSHORE FUND
Transfer Date	20200616;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

Schedule	
Type of Agreement	International Prime Brokerage Agreement
Parties to agreement	Morgan Stanley & Co. International plc for itself and as agent and trustee for and on behalf of the other Morgan Stanley Companies and CERES CAPITAL PTY LTD
Transfer Date	20200616;
Holder of Voting Rights	Prime broker has the right to vote securities rehypothecated from the Client.
Are there any restrictions on voting rights?	Yes/No
If yes, detail	Not applicable
Scheduled Return Date (if any)	Open
Does the borrower have the right to return early?	Yes/No
If yes, detail	Prime broker may return shares which were rehypothecated from the client at any time.
Does the lender have the right to recall early?	Yes/No
If yes, detail	Prime broker will be required to return to the client shares rehypothecated from the client's account upon a sale of those shares by the client.
Will the securities be returned on settlement?	Yes/No
If yes, detail any exceptions	Upon an Event of Default, the default market value of all Equivalent Securities to be delivered will be determined and on the basis of the amounts so established, an account shall be taken of what is due from each party to the other. The amounts due from one party shall be set off against the amounts due from the other party and only the balance of the account shall be payable.

For personal use only